Preparation of chitosan-polyaspartic acid-5-fluorouracil nanoparticles and its anti-carcinoma effect on tumor growth in nude mice

Dan-Ying Zhang, Xi-Zhong Shen, Ji-Yao Wang, Ling Dong, Yong-Li Zheng, Li-Li Wu

INTRODUCTION

As is well known, gastric carcinoma is one of the most familiar gastrointestinal malignant tumors. 5-fluorouracil (5-Fu) is universally used as an antineoplastic agent in gastrointestinal cancer[1]; however, it has a short plasma half-life period in vivo (only 5-10 min). This requires us to make continuous infusion (CI) schedules of 5-Fu in order to maintain an effective concentration in vivo[2-3]. The novel oral fluoropyrimidine appears to offer comparable efficacy while providing a more convenient schedule[4]. Chitosan (CTS) is the second most abundant polysaccharide and a cationic polyelectrolyte present in nature. CTS has shown a favorable biocompatibility[5-6].

AIM: To prepare chitosan-polyaspartic acid-5-fluorouracil (CTS-Pasp-5Fu) nanoparticles and investigate its anti-carcinoma effect and toxicity.

METHODS: CTS-Pasp-5Fu nanoparticles were synthesized by ionic gelatification. Male BABL/c nude mice were injected with SGC-7901 gastric carcinoma cell line mass to establish a human gastric carcinoma model. They were randomly allocated into 4 groups: CTS-Pasp-5Fu (containing 5-Fu 1.25 mg/kg), 5-Fu (1.25 mg/kg), CTS-Pasp and normal saline groups. Tumor weight was measured and assay of colony forming unit-granulocyte and macrophage (CFU-GM) was performed. The structural change of cells and tissues was observed and the Bax and Bcl-2 genes were detected.

RESULTS: Compared with normal saline, the inhibition rates of tumor growth for the CTS-Pasp, 5-Fu and CTS-Pasp-5Fu groups were 5.58%, 58.69% and 70.82%, respectively. The tumor inhibition rates for the CTS-Pasp, 5-Fu and CTS-Pasp-5Fu groups were 5.09%, 65.3% and 72.79%, respectively. There was a significant decrease in the number of CFU-GM formation and increase of total bilirubin, and alanine aminotransferase in the 5-Fu group, but no change in those of the other three groups. There was no change in white blood cell count and creatinine among the four groups. Pathological section of liver and nephridial tissues showed that the damage in the 5-Fu group was more severe than that in the CTS-Pasp-5Fu group. 5-Fu and CTS-Pasp-5Fu groups could both down-regulate the Bcl-2 expression and up-regulate the Bax expression to different extent, and the accommodate effect of CTS-Pasp-5Fu was more obvious than 5-Fu.

CONCLUSION: The tumor inhibition rate of CTS-Pasp-5Fu nanoparticles is much higher than that of 5-Fu alone.

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as well as the ability to increase membrane permeability both in vitro and in vivo, which are degraded by lysozyme in serum. CTS has received more attention in the pharmaceutical field for a wide range of drug-delivery applications. Polysaccharide acid is a kind of newly biodegradable, innocuous and friendly environmental bio-organic polymer, recognized as a green material, and widely applied in the areas such as agriculture, medicine, commodity, water treatment, etc. The synthesis and application of polysaccharide acid have been studied in many companies. However, little work focused on the complex of chitosan and polysaccharide acid. Our previous study showed that chitosan can encapsulate appreciable quantities of polysaccharide acid (Pasp) into stable nanoparticles, and the method of ion gelatification for preparing chitosan-polysaccharide acid-5-fluorouracil (CTS-Pasp-5Fu) nanoparticles is stable, simple and well biocompatible. Compared with 5-Fu, the Cmax of its nanoparticles is decreased, the AUC is increased and the T1/2 is prolonged obviously. The CTS-Pasp-5Fu nanoparticles are released controllably and could overcome some disadvantages of 5-Fu. The major goal of the present work was to prepare CTS-Pasp-5Fu nanoparticles and investigate its anti-carcinogenic effect and toxicity.

**MATERIALS AND METHODS**

**Materials**

Chitosan with a deacetylation degree (DD) of 95.3% and the molecular weight (Mw) of 6-270 kDa was purchased from Kabo Biochemical Company (Shanghai, China). Polysaccharide acid was prepared by the Department of Macromolecular Science, Key Laboratory of Molecular Engineering of Polymers of Chinese Ministry of Education, Fudan University (Shanghai) as previously described. The average molecular weight of PAsp was 5.0 kDa. 5-fluorouracil was purchased from Donghai Pharmaceutical Company (Shanghai, China). The human gastric cancer cell line, SGC-7901, was serially subcultivated by the Department of Laboratory of Gastroenterology, Zhongshan Hospital. Nude male BALB/c mice (aged 35-42 d and weighing 20-23 g) and Kunming male mice (weight 5-20 g) were obtained from the Department of Laboratory Animals, Fudan University. Methyl cellulose M450 was purchased from China Medicine (group) Shanghai Chemical Reagent Corporation. Iscove’s modified Dulbecco’s medium was purchased from GIBCO Company (UK). Fetal bovine serum was purchased from Hangzhou Sijiqing Biological Engineering Materials Co, Ltd (Hangzhou, China). Antisubstance R-0023 was purchased from Changdao Biotechnology Ltd (Shanghai). All other chemicals were of analytical grade and used without further purification.

**Preparation of CTS-Pasp-5Fu nanoparticles**

Chitosan nanoparticles were prepared as reported by Calvo et al. (1997) based on the ionic gelation of CS with TPP anions. Briefly, chitosan was dissolved in dilute acetic acid solution (10 g/L). The concentration of acetic acid in aqueous solution was the same as that of chitosan. Quantum satis 5-Fu was dissolved in this solution at room temperature. Afterwards, under magnetic stirring, the mixture solution of 5-Fu and CS was dropped into the Pasp solution at a rate of one drop/sec. Then, opalescent suspension was formed. The obtained suspension was filtered with a paper filter for use. Glutaraldehyde crosslinking nanoparticles were dropped in to drug-loaded CTS-Pasp suspension under magnetic stirring. This mixture was further stirred for three hours at room temperature. CTS-Pasp-5Fu nanoparticles were separated from the aqueous suspension medium by ultra-centrifugation at 35000 r/min for 30 min at 25°C, washed by dilute acetic acid (pH 5.0) solution and separated by three times ultracentrifugation. The sample was re-dispersed.

Dynamic light scattering (DLS) (Malvern, Autosizer 4700) was used to measure the hydrodynamic diameter and size distribution. DLS measurement was done with a wave length of 532 nm at 25°C with an angle detection of 90°.

The morphology and dried TEM-assessed size measurement of the CTS-Pasp-5Fu nanoparticles were examined under transmission electron microscope (TEM; Hitachi, H-600). The sample was dried at room temperature and examined using a TEM without staining.

The encapsulation efficiency and loading capacity of nanoparticles were determined by separation of nanoparticles from the aqueous medium containing non-associated 5-Fu by ultracentrifugation at 35000 r/min for 30 min at 14°C. The amount of free 5-Fu in the supernatant was measured by HPLC. HPLC was performed using a LC-4A HPLC system equipped with a LC-4A pump, and a SPD-10A UV detector (Shimadzu, Kyoto, Japan). The detector wavelength was set at 270 nm. HPLC analysis of samples was performed using a Science C18 column (4.6 × 250 mm, 5 μm, Japan) preceded by a C18 guard column(GLC. Science, Japan). The column temperature was maintained at 30°C. The mobile phase was a mixture of methanol/3.6% acetic acid. The flow rate was 1.0 mL/min. The 5-Fu encapsulation efficiency (EE) and the 5-Fu loading capacity (LC) of the nanoparticles were calculated as follows:

\[
EE = \frac{\text{The amount of 5-Fu in the nanoparticles}}{\text{Total amount of 5-Fu}} \times 100%
\]

\[
LC = \frac{\text{The amount of 5-Fu in the nanoparticles}}{\text{Total amount of nanoparticles weight}} \times 100%
\]

All measurements were performed in triplicate.

**In vitro drug release from nanoparticles**

In vitro 5-Fu release profiles of chitosan-Pasp-5-Fu nanoparticles were determined as follows. The CTS-Pasp-5-Fu nanoparticles were separated from the aqueous suspension medium through ultra-centrifugation. CTS-Pasp-5-Fu nanoparticles and 5-Fu were re-dispersed in 4.0 mL of phosphate buffer saline (PBS), respectively, and placed into a dialysis membrane bag with a molecular weight cut-off of 10 kDa, tied and placed into 40.0 mL.
PBS medium. The entire system was kept at 37°C with continuous magnetic stirring. At 15 min, 1, 4, 8, 24, 48, 96, 144 or 192 h, 3 mL release medium was removed at each time point and 3 mL fresh medium PBS solution was added into the system. The amount of 5-Fu in the release medium was evaluated by HPLC. All measurements were performed in triplicate.

**In vivo release from nanoparticles**

Kunming male mice (weight 20-25 g) were randomly divided into two groups. Each group was administrated with 5-Fu and CTS-Pasp nanoparticles. The plasma concentrations of 5-Fu were evaluated by HPLC after 15 min, 1, 2, 4, 6, 8, 12, 16, 24 and 48 h to compare their concentration curves.

**Establishment of human gastric carcinoma model and tumor inhibition experiment**

Nude BALB/c male mice (aged 35-42 d and weighing 20-23 g) were inoculated subcutaneously near the nape with the transplanted human SGC-7901 gastric carcinoma cell line (1 × 10⁶ cells per mouse). Two weeks later, the exuberantly proliferating tumor tissues were cut into 1.5 mm thick pieces and inoculated subcutaneously near nape of the 32 nude male BALB/c mice (aged 33-42 d and weighing 20-23 g) under aseptic conditions. The diameter of the tumor tissue transplanted into each nude mouse was measured with a slide caliper rule.

When the tumors grew to 100-300 mm³, the animals were randomly allocated into 4 groups with 8 mice in each group: CTS-Pasp-5Fu (containing 5-Fu 1.25 g/L), 5-Fu (1.25 g/L), chitosan-polyaspartic acid, and normal saline groups. Tumor weight was measured and the tumor inhibition rates (TIR) were calculated based on the results of the measurements. IR represents the length and width of the tumor tissue transplanted into each nude mouse was measured with a slide caliper rule.

Statistical analysis

The mean hydrated size of particles and distribution of CTS-Pasp-5Fu nanoparticles were determined by DLS. The results indicated that the mean size and distribution of the samples were 206 nm and 0.14, respectively. The encapsulation efficiency (EE) of CTS-Pasp-5Fu was 40.2%, and the loading capacity was 34.9%. Figure 1
shows the morphological characteristics of CTS-Pasp-5Fu nanoparticles synthesized by ion gelatification. A: The nanoparticles are in regular spherical shape and well distributed; B: The diameter of the particles is between 150-250 nm.

In vitro release

Figure 2 shows the release profile of 5-Fu and CTS-Pasp-5Fu nanoparticles showing. It shows the morphological characteristics of CTS-Pasp-5Fu nanoparticles synthesized by ion gelatification. A: The nanoparticles are in regular spherical shape and well distributed; B: The diameter of the particles is between 150-250 nm.

Figure 3 The concentration-time curves of 5-Fu group and CTS-Pasp-5Fu nanoparticles group (48 h). The maximal concentration (Cmax) of 5-Fu group occurred within 15 min and decreased rapidly, while that of CTS-Pasp-5Fu nanoparticles group occurred 6 h after the administration and the effective concentration lasted about 14 h.

In vivo release

Figure 3 shows the concentration-time curves for the 5-Fu and CTS-Pasp-5Fu groups. The maximal concentration (Cmax) in the 5-Fu group occurred within 15 min and decreased rapidly, while that in the CTS-Pasp-5Fu group occurred 6 h after the administration and the effective concentration lasted about 14 h. The plasma Cmax in the CTS-Pasp-5Fu group was lower than the 5-Fu group.

Anti-carcinoma effect of CTS-Pasp-5Fu nanoparticles on tumor growth in nude mice

Before the treatment, the weight and tumor volume in four groups were not significantly different (P > 0.05). After the treatment, the mice in the 5-Fu group lost weight gradually (Table 1) and became hypokinetic and were in a poor general state. The other three groups were in a good general state. At the end of the experiment, 6 of 8 nude mice survived in the 5-Fu group and no mouse died in the other three groups. The inhibition rate of tumor growth (IR) for the 5-Fu and CTS-Pasp-5Fu groups was significantly higher than that for the NS group (58.69%, P = 0.004; 70.82%, P = 0.00015; Table 2). The IR for the CTS-Pasp-5Fu group was also enhanced but was not significantly different from that for the 5-Fu group (P > 0.05, P = 0.206; Table 2). There was no difference in the IR between CTS-Pasp and NS groups.

### Table 1 The mean weight in four groups (g)

<table>
<thead>
<tr>
<th>Groups</th>
<th>Before treatment</th>
<th>After treatment (d)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>3</td>
<td>7</td>
</tr>
<tr>
<td>NS</td>
<td>20.96 ± 0.82</td>
<td>22.35 ± 1.17</td>
</tr>
<tr>
<td>5-Fu</td>
<td>22.16 ± 2.83</td>
<td>22.20 ± 2.86</td>
</tr>
<tr>
<td>CTS-Pasp</td>
<td>20.80 ± 1.19</td>
<td>22.96 ± 1.34</td>
</tr>
<tr>
<td>CTS-Pasp-5Fu</td>
<td>22.01 ± 2.78</td>
<td>23.31 ± 2.39</td>
</tr>
</tbody>
</table>

Pasp nanoparticles, CTS-Pasp-5Fu nanoparticles might be used to provide a continuous release. We considered that 5-Fu embedded into the nanoparticles might be bound to PAsp by ionic reaction resulting in slow 5-Fu diffusion. 5-Fu released slowly and incompletely.
The tumor size in the NS group was bigger than that in the 5-Fu and CTS-Pasp-5FU groups (all $P < 0.001$, Table 3), and the tumor weight of CTS-Pasp and NS groups was not different ($P > 0.05$). Tumor inhibition rate (TIR) for the CTS-Pasp-5Fu and 5FU groups was significantly higher than that for the NS group (72.79% and 65.3%; Table 3). TIR for the CTS-Pasp-5Fu group was higher than that for the 5-Fu group, but the difference was not significant (Table 3, Figure 4).

Figure 4 shows all the tumors of the four groups at the end of the experiment. There were only 6 tumors left in the 5-Fu group. The tumors of those of NS and CTS-Pasp groups were obviously bigger than the other two groups. The size of tumors in the 5-Fu and CTS-Pasp-5Fu groups was not significantly different, but TIR for the CTS-Pasp-5Fu group was higher than that for the 5-Fu group (72.79% vs 65.3%, Table 3).

**Side effects**

The white blood cell count, total bilirubin and alanine aminotransferase (ALT) in the NS, CTS-Pasp and CTS-Pasp-5Fu groups were not significantly different ($P > 0.05$). Those in the 5-Fu group were higher than those in the other three groups (Table 4). There was no difference in creatinine among the four groups ($P > 0.05$). The bone marrow inhibition effect in the 5-Fu group was significantly different from that in the other three groups ($P < 0.001$, Table 4, Figure 5).

Histological change after treatment

Table 5 shows the histological change in the four groups after treatment. The tumor cells in the NS and CTS-Pasp groups aligned regularly with slight inflammatory cell infiltration. The tumor cells in the CTS-Pasp-5Fu group were swollen with degeneration, necrosis and inflammatory cell infiltration which was less severe than in 5FU group. The damage liver in the 5-Fu group was more severe than that in the CTS-Pasp-5Fu group.

**Immunohistochemistry**

5-Fu and CTS-Pasp-5Fu down-regulate the Bcl-2 expression and up-regulation of the Bax expression were observed in the 5-Fu and CTS-Pasp-5Fu groups, but not in the CTS-Pasp group and NS group. The accommodate effect of CTS-Pasp-5Fu was more obvious than that of 5-Fu (Table 6, Figures 5 and 6).

Figure 6 shows the expression of the apoptotic proteins Bcl-2 and Bax in the four groups. The masculine expression of Bax in the CTS-Pasp-5Fu was more obvious than that in the 5-Fu group. CTS-Pasp-5Fu and 5Fu could up-regulate Bax expression. The
masculine expression of Bcl-2 in the CTS-Pasp-5Fu group was obviously lower than that in the other three groups. The difference in the expression of Bax and Bcl-2 between NS group and CTS-Pasp group was not significant (Figure 6).

DISCUSSION

5-Fu is a thymidylic acid synthetase (TS) inhibitor that undermines the stability of DNA molecular structure, thus it is among the top priority of consideration in chemotherapy for gastrointestinal tumors. 5-Fu is also disadvantageous in terms of irregular absorption, treatment dose, toxicity and severe side effects with high doses which result in complications by long-term intravenous administration. Consequently, the research and development of highly effective oral fluorouracil preparation or fluorouracil analogs with mild side effects has been a major topic for many medical scientists.

Biologically degradable drug-loaded nanoparticles are a novel carrier for targeted drug delivery. Its advantages include greater magnitude of drug load, controlled release, longer biological half-life, less administration time, and fewer side effects. Nanoparticles have all the advantages of liposomes including the size property. Some investigators have also observed that the number of nanoparticles which cross the intestinal epithelium is greater than that of the microspheres (>1 μm). Recently, polymer nanoparticles have been widely studied as a carrier for drug delivery. They are expected to be absorbed in an intact form in the gastrointestinal tract after oral administration. Chitosan (CTS), a poly[(1→4)-β-linked 2-amino-2-deoxy-D-glucose], is prepared from chitin by N-deacetylation. It is a biologically compatible and degradable material with almost no side effects, and is receiving worldwide interest for its industrial uses as antimicrobials, biomedical materials, cosmetics, food additives, separators, sewage disposal, agricultural materials, etc. Nanoparticles prepared with CTS are characterized by delayed release, controlled release and targeted delivery with a higher bioavailability and fewer side effects. We have developed nanocarriers made of CS which have shown a great capacity in drug controlled release.

Polyspartic acid is another favorable drug carrier. Polyspartic acid or its salts are a kind of newly innocuous, biodegradable bio-organic polymer. It has been widely applied in many areas such as agriculture, medicine, commodity, water treatment, petroleum, etc. The synthesis and application of polyspartic acid have been studied in many companies over the past years. The medical realm of polyspartic acid has gradually caught more attention of people. Our previous studies showed that CTS-Pasp-5Fu nanoparticles prepared by ionic gelation in vitro and in vivo can delay release of 5-Fu with longer effective concentration time, and that it may render solution to the 5-Fu problems of a short half-life and more side effects brought by a higher serum concentration soon after administration.

Table 6  Expression of Bcl-2, Bax in four groups (n = 8)

<table>
<thead>
<tr>
<th>Groups</th>
<th>Bcl-2</th>
<th>Bax</th>
</tr>
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<tbody>
<tr>
<td>NS groups</td>
<td>39.14 ± 5.84</td>
<td>18.94 ± 9.92</td>
</tr>
<tr>
<td>CTS-Pasp</td>
<td>38.62 ± 6.53</td>
<td>18.26 ± 7.65</td>
</tr>
<tr>
<td>5-Fu</td>
<td>31.74 ± 5.49</td>
<td>33.24 ± 4.89</td>
</tr>
<tr>
<td>CTS-Pasp-5Fu</td>
<td>22.74 ± 4.59</td>
<td>37.22 ± 9.21</td>
</tr>
</tbody>
</table>

*P < 0.05 vs NS; †P < 0.05 vs 5-Fu; ‡P < 0.001 vs NS; §P < 0.001 vs NS group.

Figure 5 Bone marrow cell colonies (≥30 nucleated cells constituted one colony) in four groups (×100). The growth of bone marrow cell colonies in the normal saline group (A), CTS-Pasp group (D) and CTS-Pasp-5Fu group (C) was vigorous, while the cell colonies in the 5-Fu (B) group was sparse. It demonstrated the inhibition effects of 5-Fu used alone. The marrow cell count indicated that CTS-Pasp-5Fu could not suppress the growth of bone marrow cells.
We observed in our experiment that chitosan-polyaspartic acid-5fluorouracil (CTS-Pasp-5Fu) nanoparticles could slowly release 5-Fu compared to 5-Fu. The Cmax of CTS-Pasp-5Fu nanoparticles was decreased, and the AUC was increased. The CTS-Pasp-5Fu nanoparticles were controllably released.

It is observed in the transplant nude mouse model of human gastric cancer that the inhibition rate of tumor growth (IR) and tumor inhibition rate (TIR) for the CTS-Pasp-5Fu group were significantly higher than those for the 5-Fu group (70.82%, 58.69%, 72.79%, 65.3%), and oncopathology documented prominent degeneration and necrosis of tumor cells in the CTS-Pasp-5Fu group compared with the other three groups. These results demonstrated that the anti-tumor effects of CTS-Pasp-5Fu were better than those of 5-Fu at the same dose, and this preparation method enhanced the pharmacodynamics of the drugs.

Nude mice in the 5-Fu group seemed to be obviously emaciated, in which 2 died at the end of the study. The
total serum bilirubin and ALT in the 5-Fu group were higher than those in the other three groups, and CFU-GM values were much lower. However, biochemical examination and CFU-GM values were not significantly different between CTS-Pasp-5Fu, CTS-Pasp and NS groups, indicating that single use of 5-Fu brings about liver toxicity and bone marrow suppression. Nevertheless, there was no significant difference in liver or kidney functions and CSF-GM values of the bone marrow between CTS-Pasp-5Fu and NS groups, suggesting that chitosan-Pasp nanoparticles coated with 5-Fu bring about less bone marrow suppressing effect, and can be used as a safe and effective drug carrier.

The Bel-2 gene family is an important regulatory factor group for apoptosis, including Bel-2, Bel-xL, etc. The ratio of Bel-2/Bax is an important index affecting apoptosis.[30] Bel-2 inhibits cell apoptosis by inhibiting the release of cytochrome c while Bax promotes cell apoptosis by promoting the release of cytochrome c and activates the key enzyme caspase in the process of apoptosis. Activated caspase causes tumor apoptosis by its effect on substrate[5]. The previous investigation in vitro showed that 5-Fu can up-regulate bax protein expression in some tumor cells.[30] Our immunochemical detection of the apoptosis-related genes among four tumor groups showed that 5-Fu and CTS-Pasp-5Fu could down-regulate the bel-2 expression, and up-regulate bax expression. Since the regulation effect of CTS-Pasp-5Fu nanoparticles is more powerful, it can enhance the inhibitory effect compared with 5-Fu alone, and induce the apoptosis of the gastric carcinoma.

In conclusion, in this study we successfully synthesized CTS-PASP nanoparticles coated with 5-Fu, which demonstrated significant anti-tumor effects in the transplanted nude mouse model of human gastric cancer, with less bone marrow suppression. Therefore, it may be used as a safe and effective novel anti-tumor preparation with profound prospects in clinical application.

COMMENTS

Background

5-Fluorouracil (5-Fu) is often used as an anti-tumor agent in the treatment of gastrointestinal cancer. However, it often causes inconvenience of patients because of its short plasma half-life and requires continuous infusion (CI) schedules. So it is important to investigate and develop new drugs which can reduce the side effects of 5-Fu.

Research frontiers

Chitosan (CTS) has received more attention recently in the pharmaceutical field for a wide range of drug-delivery applications. Polyaspartic acid is a kind of a new biodegradable, innocuous and friendly environmental bio-organic polymer, recognized as a green material, and widely used in the areas such as agriculture, medicine, commodity, water treatment, etc.

Innovations and breakthroughs

In this study, the authors successfully synthesized chitosan-polyaspartic acid-5 fluorouracil (CTS-Pasp-5Fu) nanoparticles and investigated their anti-carcinoma effect and toxicity to overcome the disadvantage of 5-Fu in gastric carcinoma mouse model. The results show that the tumor inhibition rate of CTS-Pasp-5Fu nanoparticles was much higher than that of 5-Fu alone, and the inhibition on bone marrow was alleviated efficiently. Thus, it may be used as a safe and effective novel anti-tumor preparation with profound prospects in clinical application.

Applications

Based on the results of this study, it is supposed that CTS-Pasp-5Fu nanoparticles may substitute 5-Fu in the future treatment of gastrointestinal cancer.

Peer review

This study focused on the preparation of CTS-Pasp-5Fu nanoparticles and investigation of its anti-carcinoma effect and toxicity to overcome the disadvantage of 5-Fu in gastric carcinoma mouse model. The data demonstrate that CTS-Pasp-5Fu appears to offer comparable efficacy while providing a more convenient schedule and showed insight into the novel oral fluoropyrimidine.

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